

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/538951
Filing Date	March 31, 2000
First Named Inventor	Ellison, Carl
Group Art Unit	2132
Examiner Name	Stulberger, Cas P.

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Sheet 1 of 1

Attorney Docket No: 42P08097

Technology Center 2100

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
CS	DE-DE4217444	12/03/1992	Toyohisa, Imada, et al.			
CS	EP-EP0473913	03/11/1992	Farrell, Joel A.			abs

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CS		BRANDS, STEFAN, "Restrictive Blinding of Secret-Key Certificates", SPRINGER-VERLAG XP002201306, (1995), Chapter 3	
CS		DAVIDA, GEORGE I., et al., "Defending Systems Against Viruses through Cryptographic Authentication", <u>Proceedings of the Symposium on Security and Privacy</u> , IEEE Comp. Soc. Press, ISBN 0-8186-1939-2, (May 1989)	
CS		KASHIWAGI, KAZUHIKO, et al., "Design and Implementation of Dynamically Reconstructing System Software", <u>Software Engineering Conference</u> , Proceedings 1996 Asia-Pacific Seoul, South Korea 4-7 Dec. 1996, Los Alamitos, CA USA, IEEE Comput. Soc, US, ISBN 0-8186-7638-8, (1996)	
CS		LUKE, JAHN, et al., "Replacement Strategy for Aging Avionics Computers", <u>IEEE AES Systems Magazine</u> , XP002190614, (March 1999)	
CS		MENEZES, OORSCHOT, "Handbook of Applied Cryptography", <u>CRC Press LLC</u> , USA XP002201307, (1997), 475	
CS		RICHT, STEFAN, et al., "In-Circuit-Emulator Wird Echtzeittauglich", <u>Elektronik</u> , Franzis Verlag GMBH, Munchen, DE, Vol. 40, No. 16, XP000259620, (100-103), 8-6-1991	
CS		SAEZ, SERGIO, et al., "A Hardware Scheduler for Complex Real-Time Systems", <u>Proceedings of the IEEE International Symposium on Industrial Electronics</u> , XP002190615, (July 1999), 43-48	
CS		SHERWOOD, TIMOTHY, et al., "Patchable Instruction ROM Architecture", Department of Computer Science and Engineering, University of California, San Diego, La Jolla, CA, (Nov. 2001)	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
 Stylesheet Version v18.0

Title of
 Invention

Platform and Method for Issuing and Certifying a Hardware-
 Protected Attestation Key

Application Number: 09/538951

Confirmation Number: 9459

First Named Applicant: Carl Ellison

Attorney Docket Number: 42P08097

Art Unit: 2132

Examiner: Cas Stulberger

Search string: (4319323 or 4759064 or 5007082 or 5317705 or
 5459867 or 5504922 or 5606617 or 5901225 or
 5970147 or 6061794 or 6092095 or 6115816 or
 6212635 or 6222923 or 6252650 or 6269392 or
 6330670 or 6357004 or 6363485 or 6374286 or
 6378072 or 6389537 or 6412035 or 6421702 or
 6445797 or 6463535 or 6463537 or 6507904 or
 6535988 or 6557104 or 6633963 or



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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
CS	1	4319323	1982-03-09	Ermolovich, Thomas R., et al.			
CS	2	4759064	1988-07-19	Chaum,			
CS	3	5007082	1991-04-09	Cummins, Mary T.			
CS	4	5317705	1994-05-31	Gannon, Patrick M., et al.			
CS	5	5459867	1995-10-17	Adams, Phillip M., et al.			
CS	6	5504922	1996-04-02	Seki, Yukihiro , et al.			
CS	7	5606617	1997-02-25	Brands, Stefanus A.			
CS	8	5901225	1999-05-04	Ireton, Mark A., et al.			
CS	9	5970147	1999-10-19	Davis, Derek L., et al.			
CS	10	6061794	2000-05-09	Angelo, Michael E.			
CS	11	6092095	2000-07-18	Maytal, Benjamin			
CS	12	6115816	2000-09-05	Davis, Derek L.			
CS							

CS	13	6212635	2001-04-03	Reardon, David C.
CS	14	6222923	2001-04-24	Schwenk, Joerg
CS	15	6252650	2001-06-26	Nakaumra, Kouji
CS	16	6269392	2001-07-31	Cotichini, Christian , et al.
CS	17	6330670	2001-12-11	England, Paul , et al.
CS	18	6357004	2002-03-12	Davis, Derek L.
CS	19	6363485	2002-03-26	Adams, Carlisle
CS	20	6374286	2002-04-16	Gee, John K., et al.
CS	21	6378072	2002-04-23	Collins, Thomas , et al.
CS	22	6389537	2002-05-14	Davis, Derek L., et al.
CS	23	6412035	2002-06-25	Webber, Victor
CS	24	6421702	2002-07-16	Gulick, Dale E.
CS	25	6445797	2002-09-03	McGough, Paul , et al.
CS	26	6463535	2002-10-08	Drews, Paul C., et al.
CS	27	6463537	2002-10-08	Tello, Jose A.
CS	28	6507904	2003-01-14	Ellison, Carl M., et al.
CS	29	6535988	2003-03-18	Poisner, David L.
CS	30	6557104	2003-04-29	Vu, Son T., et al.
CS	31	6633963	2003-10-14	Ellison, Carl M., et al.
CS	32	6633981	2003-10-14	Davis, Derek L.

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Remarks

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Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to receipt of a first office action. Pursuant to 37 CFR 1.97(b), no fee should be required the filing of this Electronic Information Disclosure Statement. In the event it is that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.



Signature

Examiner Name	Date
<i>Ca. S. Hays</i>	<i>4/30/2004</i>

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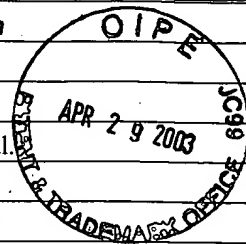
DEC 18 2003

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	09/538,951
Filing Date	3/31/2000
First Named Inventor:	Ellison, et al.
Group Art Unit	3642
Examiner Name	Unassigned
Attorney Docket Number	042390.P8097



Attorney Docket Number	042390.P8097
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GROUP 3600

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Examiner Signature		Date Considered	4/30/2004
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Platform and Method for Issuing and Certifying a Hardware- Protected Attestation Key
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Application Number: 09/538951
Confirmation Number: 9459
First Named Applicant: Carl Ellison
Attorney Docket Number: 42P08097
Art Unit: Unknown
Examiner: Unknown Unknown
Search string: (4247905 or 4347565 or 5319760 or 5437033
or 5511217 or 5522075 or 5737604 or 5854913
or 6075938 or 6182089 or 6272637 or 6314409
or 6374317 or 6397242).pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

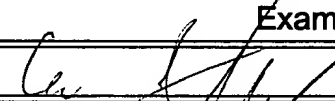
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
CS	1	4247905	1981-01-27	Yoshida, Yukihiro , et al.			
CS	2	4347565	1982-08-31	Kaneda, Saburo , et al.			
CS	3	5319760	1994-06-07	Mason, Andrew H., et al.			
CS	4	5437033	1995-07-25	Inoue, Taro , et al.			
CS	5	5511217	1996-04-23	Nakajima, Atsushi , et al.			
CS	6	5522075	1996-05-28	Robinson, Paul T., et al.			
CS	7	5737604	1998-04-07	Miller, David A., et al.			
CS	8	5854913	1998-12-29	Goetz, John W., et al.			
CS	9	6075938	2000-06-13	Bugnion, Edouard , et al.			
CS	10	6182089	2001-01-30	Ganapathy, Narayanan , et al.			
CS	11	6272637	2001-08-07	Little, Wendell L., et al.			
CS	12	6314409	2001-11-06	Schneck, Paul B., et al.			
CS	13	6374317	2002-04-16	Ajanovic, Jasmin , et al.			
CS	14	6397242	2002-05-28	Devine, Scott W., et al.			

Remarks

Note: Remarks are not for responding to an office action.

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

Signature

Examiner Name	Date
	9/30/2007



Electronic Information Disclosure Statement

Platform and Method for Issuing and Certifying a Hardware-Protected Attestation Key

Application: 
09/538951

Confirmation: 9459

Applicant(s): Carl Ellison

Docket Number: 042390.P8097

Group Art Unit: 3642

Examiner: Unassigned

search string: (4037214 or 5079737 or 5386552 or 5628022 or 5737760 or 5757919 or 5852717 or 5872994 or 6055637 or 6085296 or 6125430 or 6148379 or 6192455 or 6249872 or 6272533 or 6292874 or 6301646 or 6321314 or 6339815 or 6339816 or 6499123 or 6505279 or 20010021969 or 20010027527).pn.







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US Patent Documents



Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	4037214	1977-07-19		Birney, et al.		
	P02	5079737	1992-01-07		Hackbarth		
	P03	5386552	1995-01-31		Garney		

CS	P04	5628022	1997-05-06		Ueno, et al.
CS	P05	5737760	1998-04-07		Grimmer, Jr., et al.
CS	P06	5757919	1998-05-26		Herbert, et al.
CS	P07	5852717	1998-12-22		Bhide, et al.
CS	P08	5872994	1999-02-16		Akiyama, et al.
CS	P09	6055637	2000-04-25		Hudson, et al.
CS	P10	6085296	2000-07-04		Karkhanis, et al.
CS	P11	6125430	2000-09-26		Noel, et al.
CS	P12	6148379	2000-11-14		Schimmel
CS	P13	6192455	2001-02-20		Bogin, et al.
CS	P14	6249872	2001-06-19		Wildgrube, et al.
CS	P15	6272533	2001-08-07		Browne
CS	P16	6292874	2001-09-18		Barnett
CS	P17	6301646	2001-10-09		Hostetter
CS	P18	6321314	2001-11-20		Van Dyke
CS	P19	6339815	2002-01-15		Feng, et al.
CS	P20	6339816	2002-01-15		Bausch
CS	P21	6499123	2002-12-24		McFarland, et al.
CS	P22	6505279	2003-01-07		Phillips, et al.

Published Applications

Note: Applicant is not required to submit a paper copy of cited US Patent Documents


init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
CS	U01	20010021969	2001-09-13		Burger, et al.		
CS	U02	20010027527	2001-10-04		Khidekel, et al.		

Remarks

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Signature

Examiner Name	Date
	9/30/2004

Substitute for Form 1449A/PTO (Modified)		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/538,951
		Filing Date	March 31, 2000
		First Named Inventor:	Carl M. Ellison
		Group Art Unit	3642
		Examiner Name	Unassigned
		Attorney Docket Number	042390P8097
Sheet 1	of 2	RECEIVED DEC 02 2002 Technology Center 2100	
OTHER ART - NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	
CS		GOLDBERG, R., "Survey of virtual machine research," IEEE Computer Magazine 7(6), pp. 34-45, 1974.	
CS		GUM, P.H., "System/370 Extended Architecture: Facilities for Virtual Machines," IBM J. Research Development, Vol 27, Number 6, pp. 530-544, November 1983	
CS		ROSENBLUM, M. "Vmware's Virtual Platform: A Virtual Machine Monitor for Commodity PCs," Proceedings of the 11th Hotchips Conference, pp. 185-196, August 1999.	
CS		LAWTON, K., "Running Multiple Operating Systems Concurrently on an IA32 PC Using Virtualization Techniques," http://www.plex86.org/research/paper.txt ; 11/29/1999; pp. 1-31.	

Examiner Signature	<i>[Signature]</i>	Date Considered	1/30/2004
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Substitute for Form 1449A/PTO (Modified)

JUN 1 8 2002

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Sheet	2	042390.P8097	2	Application Number	09/538,951
				Filing Date	3/31/00
				First Named Inventor:	Ellison, et. al.
				Group Art Unit	3642
				Examiner Name	Unassigned
				Attorney Docket Number	042390.P8097

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GROUP 3600

OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
CS		"M68040 USER'S MANUAL", 1993, MOTOROLA INC., page 1-5 - page 1-9, page 1-13- page 1-20, page 2-1-page 2-3, page 4-1, page 8-9-page 8-11	
CS		"INTEL 386 DX MICROPROCESSOR 32-BIT CHMOS MICROPROCESSOR WITH INTEGRATED MEMORY MANAGEMENT", 12/31/95, INTEL INC., page 32-56; figure 4-14	
CS		JOE HEINRICH:"MIPS R4000 MICROPROCESSOR USER'S MANUAL", 1994, MIPS TECHNOLOGY INC., MOUNTAIN VIEW, CA, pages 67-79	
CS		BERG C: "HOW DO I CREATE A SIGNED APPLETT?", DR. DOBB'S JOURNAL, M&T PUBL., REDWOOD CITY, CA, US, VOL. 22, NO. 8, 8 '97, page 109-111, 122	
CS		GONG L ET AL: "GOING BEYOND THE SANDBOX: AN OVERVIEW OF THE NEW SECURITY ARCHITECTURE IN THE JAVA DEVELOPMENT KIT 1.2", PROCEEDINGS OF THE USENIX SYMPOSIUM ON INTERNET TECHNOLOGIES AND SYSTEMS, MONTERY, CA 12 '97, pages 103-112	

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Examiner Signature		Date Considered	4/5/02
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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Substitute for Form 1449A/PTO (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(use as many sheets as necessary)</small>				Complete if Known	
				Application Number	09/538,951
				Filing Date	March 31, 2000
				First Named Inventor:	Carl M. Ellison
				Group Art Unit	3642
				Examiner Name	Unassigned
				Attorney Docket Number	42P8097
Sheet	1	of		2	

U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
CS		4,366,537		Heller, et al.	12/28/82	
CS		5,075,842		Lai	12/24/91	
CS		5,255,379		Melo	10/19/93	
CS		5,459,869		Spilo	10/17/95	
CS		5,615,263		Takahashi	03/25/97	
CS		4,307,214		Birney, et al.	07/19/97	
CS		5,717,903		Bonola	02/10/98	
CS		5,764,969		Kahle, et al.	06/09/98	
CS		5,890,189		Nozue, et al.	03/30/99	
CS		5,987,557		Ebrahim	11/16/99	
CS		US2001003745		Metlitski, et al.	11/01/01	

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
CS			EP0600112		Seimens Nixdorf InformationSysteme	08/06/94		
CS			WO9844402		PCT	10/08/98		
CS			WO9905600		PCT	02/04/99		
CS			WO9909482		Seimens Nixdorf InformationSysteme	02/25/99		
CS			WO9957863		PCT	11/11/99		
CS			WO0062232		PCT	10/19/00		
CS			EP1146715		European Patent Application	10/17/01		

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Examiner Signature:	Date Considered: APR 15 2002
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitution for Form 1449A/PTO

Complete if Known

SEP 13 2001

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary.)

Sheet	1	of	2	Application Number	09/538,951
				Filing Date	March 31, 2000
				First Named Inventor	Carl M. Ellison
				Group Art Unit	3642
				Examiner Name	Unassigned
				Attorney Docket Number	042390.P8097

203600 MAIL ROOM

U.S. PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
CS	4,278,837	07/14/81	Best			
CS	4,521,852	06/04/85	Guttag			
CS	5,022,077	06/04/91	Bealkowski et al.			
CS	5,293,424	03/08/94	Holtey et al.			
CS	5,421,006	05/30/95	Jablon et al.			
CS	5,473,692	12/05/95	Davis			
CS	5,479,509	12/26/95	Ugon			
CS	5,568,552	10/22/96	Davis			
CS	5,657,445	08/12/97	Pearce			
CS	5,729,760	03/17/98	Poisner			
CS	5,796,845	08/18/98	Serikawa et al.			
CS	5,805,712	09/08/98	Davis			
CS	5,835,594	11/10/98	Albrecht et al.			
CS	5,844,986	12/01/98	Davis			
CS	5,937,063	08/10/99	Davis			
CS	5,978,481	11/02/99	Ganesan et al.			
CS	6,014,745	01/11/00	Ashe			
CS	6,058,478	05/02/00	Davis			
CS	6,158,546	12/12/00	Hanson et al.			
CS	6,175,925	01/16/01	Nardone et al.			
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